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## NOTE

# COMBINATORIAL ORTHOGONALITY IN ABSTRACT CONFIGURATIONS

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**Abstract.** In the linear space of real valued functions on the power set of a finite set, the orthogonal complement of the subspace spanned by the characteristic functions of the maximal chains is precisely the subspace spanned by the functions  $\mu_i - \mu_0$ , where  $\mu_i$  denotes the characteristic function of the  $i$ th rank. In this paper it is shown that in more general abstract configurations than power sets, this orthogonality relation is equivalent to a certain connectedness property. As a corollary, the relations obtaining between pairs of maximum sized antichains are characterized.

## 1. Introduction

By a *configuration* we mean a partial order of finite length which satisfies the Jordan-Dedekind chain condition [1], such that all maximal chains are of the same length. On a configuration we can always introduce a height function, and partition the configuration into *ranks*, i.e., maximal subsets of constant height. In the linear space  $E$  of real valued functions on the configuration  $P$ , introduce the inner product  $(\alpha, \beta) = \sum_{x \in P} (\alpha x) \beta x$ . It is convenient to represent subsets of  $P$  by their characteristic functions; thus, with each subset  $\xi$  of  $P$ , we associate a function (also denoted by  $\xi$ ) in  $E$  defined by

$$\xi x = \begin{cases} 1 & \text{if } x \in \xi, \\ 0 & \text{if } x \notin \xi. \end{cases}$$

In [3] it is proven that the subspace of  $E$  spanned by the maximal chains of  $P$  is the orthogonal complement of the subspace spanned by  $\mu_1 - \mu_0, \mu_2 - \mu_0, \dots, \mu_k - \mu_0$ , where  $\mu_0, \mu_1, \dots, \mu_k$  denote the ranks of  $P$ , provided that  $P$  is the power set of a finite set. In this note we investi-

gate the validity of this characterization for arbitrary configurations  $P$ . It will be shown that the characterization remains valid if and only if  $P$  enjoys a certain connectivity property. If  $\xi$  and  $\zeta$  are subsets of  $P$ , we shall say that  $\xi$  is *connected through*  $\zeta$  provided that for each pair of points  $u, v$  of  $\xi$ , there exist points  $x_0, x_1, \dots, x_m$  in  $\xi \cup \zeta$ , with  $x_0 = u$  and  $x_m = v$ , such that  $x_i$  and  $x_{i-1}$  are incident for  $i = 1, 2, \dots, m$ .

**Theorem.** Let  $\mu_0, \mu_1, \dots, \mu_k$  denote the ranks of the configuration  $P$ . Then for the subspace of  $E$  spanned by  $\mu_1 - \mu_0, \mu_2 - \mu_0, \dots, \mu_k - \mu_0$  to be the orthogonal complement of the subspace spanned by the maximal chains of  $P$ , it is necessary and sufficient that  $\mu_{i-1}$  be connected through  $\mu_i$  for  $i = 1, 2, \dots, k$ .

## 2.

It is clear that  $(\gamma, \mu_i - \mu_0) = 0$  for every maximal chain  $\gamma$ , since  $\gamma$  meets each rank exactly once. In this section we prove that the connectivity property is sufficient. Assume therefore that  $\mu_{i-1}$  is connected through  $\mu_i$  for  $i = 1, 2, \dots, k$ , and let  $\xi$  be orthogonal to every maximal chain. We shall show that  $\xi$  is constant on each rank, so that there exist real numbers  $a_0, a_1, \dots, a_k$  with  $\xi = \sum a_i \mu_i$ . Were this false, let  $\mu_i$  be the lowest rank on which  $\xi$  is not constant. From the orthogonality of  $\xi$  to all maximal chains, it follows that  $i < k$ . Inasmuch as  $\mu_i$  is connected through  $\mu_{i+1}$ , for each pair  $u, v$  in  $\mu_i$ , there exist  $x_0, x_1, \dots, x_m$  in  $\mu_i$  and  $y_1, y_2, \dots, y_m$  in  $\mu_{i+1}$  with  $x_0 = u$  and  $x_m = v$  such that  $x_{j-1} < y_j$  and  $x_j < y_j$  for  $j = 1, 2, \dots, m$ . A contradiction will be obtained by proving that  $\xi x_{j-1} = \xi x_j$  for  $j = 1, 2, \dots, m$ . Consider a pair  $\gamma, \delta$  of maximal chains with  $\gamma$  passing through  $x_{j-1}$  and  $y_j$ ,  $\delta$  passing through  $x_j$  and  $y_j$ , and such that  $\gamma z = \delta z$  for all  $z > y_j$ . Since  $\xi$  is constant on each rank below  $\mu_i$ , it follows that  $(\xi, \gamma - \delta) = \xi x_{j-1} - \xi x_j$ ; but  $(\xi, \gamma) = (\xi, \delta) = 0$ , so  $\xi x_{j-1} = \xi x_j$ . Now from  $\sum a_i \mu_i = \xi$  and from the orthogonality of  $\xi$  to maximal chains, it follows that  $\sum a_i = 0$ , so that  $\xi$  can be written as  $\sum a_i (\mu_i - \mu_0)$ .

## 3.

To prove necessity, suppose that some rank  $\mu_{i-1}$  is not connected through  $\mu_i$ . Let  $\alpha$  be a connected (through  $\mu_i$ ) component of  $\mu_{i-1}$  and

let  $\psi = \mu_{i-1} - \nu$ . Let  $\lambda$  and  $\pi$  consist of the points of  $\mu_i$  which cover points of  $\nu$  and  $\psi$ , respectively. Since  $\nu$  is a component of  $\mu_{i-1}$ , it is clear that  $\lambda$  and  $\pi$  are disjoint. Then the function  $\nu - \lambda$  is orthogonal to every maximal chain, yet is not constant on the rank  $\mu_{i-1}$ ; it is therefore not in the subspace spanned by  $\mu_1 - \mu_0, \mu_2 - \mu_0, \dots, \mu_k - \mu_0$ .

4.

Our theorem establishes a necessary and sufficient condition of a *local* character for a *global* relation in  $E$ . It is closely related to the uniqueness theorem of Drake [2]. In the corollary (which generalizes [3, Corollary 4]), we shall refer to the necessary and sufficient condition as the *connectivity condition*.

**Corollary.** *Let  $P$  be a configuration which satisfies the connectivity condition, and let  $\xi$  and  $\zeta$  be subsets of  $P$ . Then  $(\xi, \gamma) = (\zeta, \gamma)$  for every maximal chain  $\gamma$  if and only if the following three conditions hold:*

- (i) *there are exactly as many  $i$  with  $\mu_i \subset \xi$  as there are with  $\mu_i \subset \zeta$ ,*
- (ii) *there are exactly as many  $i$  with  $\mu_i \cap \xi = \emptyset$  as there are with  $\mu_i \cap \zeta = \emptyset$ ;*
- (iii)  *$\mu_i \neq \mu_j \cap \xi \neq \emptyset$  implies  $\mu_i \cap \xi = \mu_j \cap \zeta$ .*

## References

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- [2] D.A. Drake, Another note on Sperner's lemma, *Can. Math. Bull.* 14 (1971) 255-256.
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